MiFID Client welcome pack checklist

Banque de Patrimoines Privés, S.A. (the "Bank") is a credit institution authorised by the Commission de Surveillance du Secteur Financier to provide financial and investment/auxiliary services.

One of the obligations imposed by current legislation is to provide clients with certain information about the Bank and the terms and conditions applicable to each of the services it provides before entering into the contract governing the rights and obligations that will be binding on both the Bank and the client.

This "MiFID client welcome pack checklist" lists the documents that the Bank provides when opening an account to cover the provision of the following investment/auxiliary services:

- the receipt and transmission of orders received from clients;
- discretionary portfolio management;
- the administration and custody of financial instruments on behalf of clients; or
- the placement and underwriting of issues of financial instruments.

When marketing any financial instrument covered by MiFID regulations or subscribing for a financial instrument through the discretionary management of portfolios, the Bank will provide you with appropriate information and warnings on the risks associated with investments in these instruments or in relation to particular investment strategies, and whether the financial instrument is intended for retail clients, professionals or eligible counterparties, based on the identified target market and its level of risk.

To this end, the client expressly and unequivocally confirms that s/he has received a copy of the documents listed below:

- ☐ MiFIDII Pre-trade Information Brochure;
- ☐ Other Pre-contractual information documents available on MIFID section of the website of the Bank: https://banquedepatrimoinesprives.com/en/mifid such as the overview of costs and charges and MIFID related policies of the Bank;
- ☐ Overview of the main characteristics and risks associated with financial instruments;
- ☐ Pre-contractual information documents per asset category;
- ☐ When applicable, Pre-contractual information sheet regarding discretionary portfolio management services;
- ☐ Appropriateness questionnaire;
- ☐ Suitability questionnaire;

We remind you that any decision related to financial instruments involves risks that you should bear in mind and assess before making your investment decision. In this regard, we ask you to read carefully all the documents issued by the Bank.

If you have any related questions, please contact your relationship manager.

Client's signature:

Name of the client:

Date: [•]

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